

# A CONJECTURE OF TRAUTMAN

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1

In 1998 the physicist Andre Trautman conjectured that a three-dimensional CR manifold is locally realizable if and only if its canonical bundle admits a closed nowhere zero section. First we review the relevant definitions and in the next section give the physical context. In Section 3 we outline the earlier results in [2] which had proved a weak version of the Conjecture.

A **CR structure** on a three-dimensional manifold  $M$  is a two-plane distribution  $H \subset TM$  and a fiber preserving anti-involution  $J : H \rightarrow H$ . We denote this structure by  $(M, H, J)$ . It is often useful to extend  $J$  by complex linearity to a map

$$J : \mathbf{C} \otimes H \rightarrow \mathbf{C} \otimes H.$$

Then  $J$  is completely determined by the eigenspace corresponding to the eigenvalue  $i$  (or to the eigenvalue  $-i$ ).

An equivalent definition of a CR structure on a three-dimensional manifold may be given in terms of a complex line bundle: A CR structure on  $M$  is a line bundle  $B \subset \mathbf{C} \otimes TM$  with the property that  $B \cap \overline{B}$  contains only the zero section. Then

$$H = \{\Re Z : Z \in B\}$$

is of rank 2 and  $J$  is defined on  $\mathbf{C} \otimes H = B \oplus \overline{B}$  by setting

$$J(Z) = iZ \text{ if } Z \in B$$

and

$$J(Z) = -iZ \text{ if } Z \in \overline{B}.$$

So for  $X - iY \in B$

$$JX = Y \text{ and } JY = -X.$$

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**Example** Let  $M^3 \subset \mathbf{C}^2$  be a real hypersurface and let  $J$  denote the usual operator on  $\mathbf{R}^4$  giving the complex structure. Set  $H_p = T_p M \cap JT_p M$  for each  $p \in M$ . Now  $J$  acts on  $H$  and  $(M, H, J)$  is a CR structure. Or, to use the alternative definition, just take

$$B = T^{1,0}(\mathbf{C}^2) \cap \mathbf{C} \otimes TM$$

where  $T^{1,0}$  is the linear span of

$$\left\{ \frac{\partial}{\partial z_1}, \frac{\partial}{\partial z_2} \right\}$$

(and  $T^{0,1}$  is the span of the conjugates).

So later we write  $B = T^{1,0}(M) = T^{1,0}$  and write  $T^{0,1}$  for  $\overline{B}$ .

The canonical bundle is another complex line bundle associated to a CR structure. It is a subbundle of the second exterior product. For a real hypersurface in  $\mathbf{C}^2$  it is generated by the two-form  $dz_1 \wedge dz_2$  restricted to  $M$ . More generally, if the CR structure is given by a complex line bundle  $B$  then

$$\Omega = \{\omega \in \mathbf{C} \otimes \Lambda^2(TM) : i_b \omega = 0 \text{ for all } b \in \overline{B}\}.$$

The interior product  $i_b \omega$  is given by  $i_b \omega(X) = \omega(b \wedge X)$ .

**Definition.**  $(M, H, J)$  is realizable in a neighborhood of  $p$  if there exist complex functions  $f_1$  and  $f_2$  such that

$$(X + iJX)f_k = 0$$

for all  $X \in H$  and

$$\begin{aligned} F : M &\rightarrow \mathbf{C}^2 \\ x &\rightarrow (\Re f_1, \Im f_1, \Re f_2, \Im f_2) \end{aligned}$$

is an embedding.

It follows upon identifying  $M$  with its image  $F(M)$  that the original structure  $(M, H, J)$  coincides with the CR structure induced as in the Example.

We digress briefly to discuss higher-dimensional CR structures and return to this in Section 3.

**Definition.**  $(M^{2n+1}, B)$  is a CR manifold if  $B \subset \mathbf{C} \otimes TM$  is a vector subspace of rank  $n$  with  $B \cap \overline{B} = \{0\}$  and  $[\Gamma B, \Gamma B] \subset \Gamma B$ . I.e., the commutator of local sections of  $B$  is always in  $B$ .

More precisely, we have defined a CR manifold of hypersurface type.

**Definition.**  $(M^{2n+1}, B)$  is realizable if there is an embedding  $F : M \rightarrow \mathbf{C}^{n+1}$  with, after identifying  $M$  with  $F(M)$ ,

$$T^{1,0}(\mathbf{C}^{n+1}) \cap \mathbf{C} \otimes TM = B.$$

The canonical bundle is now a complex line bundle in the exterior product  $\Lambda^{n+1}(\mathbf{C} \otimes TM^{2n+1})$ . Namely,

**Definition.** The canonical bundle is

$$\Omega = \{\omega \in \mathbf{C} \otimes \Lambda^{n+1}(TM) : i_v \omega = 0, \forall v \in T^{0,1}\}.$$

**Definition.** A function  $f : M \rightarrow \mathbf{C}$  is a CR function if  $Lf = 0$  for all  $L \in T^{0,1}$ .

**Lemma 1.1.**  $M^{2n+1}$  is realizable in  $\mathbf{C}^{n+1}$  if there exist CR functions  $f_1, \dots, f_{n+1}$  such that

$$(1.1) \quad df_1 \wedge \dots \wedge df_{n+1} \neq 0.$$

*Proof.* Let  $L_1, \dots, L_n$  be a basis for  $T^{0,1}$  and let  $T$  be any nonzero vector transverse to  $H$ . From (1.1) and using that the functions are CR, we have

$$df_1 \wedge \dots \wedge df_{n+1}(\bar{L}_1, \dots, \bar{L}_n, T) \neq 0.$$

So  $df_j T \neq 0$  for some  $j$ , say  $j = n + 1$ , which now implies

$$df_1 \wedge \dots \wedge f_n \wedge df_{n+1} \wedge \bar{df}_1 \wedge \dots \wedge \bar{df}_n \neq 0.$$

Thus

$$F = (f_1, \dots, f_{n+1})$$

is a local embedding. Indeed perhaps after multiplying  $F$  by  $i$ ,  $F(M)$  has the form

$$\mathfrak{I}z_{n+1} = f(z_1, \dots, z_n, \Re z_{n+1})$$

□

The realizability problem is quite subtle. For instance, most three-dimensional  $C^\infty$  CR structures are not locally realizable [4], [7].

Most realizability results in higher dimensions concern strictly pseudoconvex CR structures.

**Definition.** A CR structure  $(M, B)$  is strictly pseudoconvex if the quadratic form

$$L \in B \rightarrow [L, \bar{L}] \quad \text{mod}\{B \oplus \bar{B}\}$$

is definite.

Such structures are realizable if  $\dim M \geq 7$ . See [1] and [5] for the original proofs and [11] for a variation.

Although, as we said, the general realizability problem is subtle there are two easy results.

**Proposition 1.** *Real analytic CR manifolds are locally realizable.*

A proof can be found, for instance, in [3, page 22].

**Proposition 2.** *A CR manifold admitting a vector field  $v$  transverse to  $H$  and preserving the CR structure is locally realizable.*

To preserve the CR structure means that the Lie derivative in the direction of  $v$  satisfies

$$\mathcal{L}_v T^{1,0} = T^{1,0}$$

A generalization of this result is important in Section 3 and will be proved there.

## 2.

We first wish to explain the observation of [8] that a shear-free congruence of null geodesics on a four-dimensional manifold induces a three-dimensional CR structure on a quotient manifold.

Let  $M^4$  be a Lorentz manifold with metric  $g$  and let  $k$  be a null vector field,  $g(k, k) = 0$ . Let  $K$  be the real line bundle generated by  $k$ . Set

$$K^\perp = \{v \in T_p M : g(v, k) = 0\}.$$

Note that  $K \subset K^\perp$  and that  $K^\perp/K$  is an  $R^2$  bundle on  $M$ . Following the notation in [10], let  $n \in K^\perp$ . Denote the equivalency class of  $n$  in  $K^\perp/K$  by  $[n]$  and use the same notation for  $n \in \mathbf{C} \otimes (K^\perp/K) = \mathbf{C} \otimes K^\perp / \mathbf{C} \otimes K$ .

**Lemma 2.1.** *The metric  $g$  induces a well-defined positive definite inner product on  $K^\perp/K$ .*

*Proof.* Let  $[n_1]$  and  $[n_2]$  belong to the fiber of  $K^\perp/K$  over some point of  $M$ . Define  $g([n_1], [n_2])$  to be  $g(n_1, n_2)$ . If  $v_1$  and  $v_2$  are different choices then  $v_j = n_j + a_j k$  and so

$$\begin{aligned} g(v_1, v_2) &= g(n_1 + a_1 k, n_2 + a_2 k) \\ &= g(n_1, n_2) \end{aligned}$$

since  $k$  is a null vector and  $n_j \in K^\perp$ . This shows that  $g$  is well-defined.

To see that  $g$  is definite, assume that for some  $[n]$  we have

$$g([n], [n]) \equiv g(n, n) = 0.$$

By the definitions of  $k$  and  $K^\perp$  we also have

$$g(k, k) = 0$$

and

$$g(k, n) = 0.$$

So either  $n$  is a multiple of  $k$  or  $g$  vanishes on a two-dimensional plane. The second alternative is not possible for a Lorentz metric. So  $n = ak$  and thus  $[n] = 0$ . Hence  $g$  is definite, and since it arises from a Lorentz metric it is positive definite.  $\square$

Fix an orientation for  $K^\perp/K$  (this is not a problem, as long as we care only about local results) and then let  $J : K^\perp/K \rightarrow K^\perp/K$  be the operation of rotation by  $\pi/2$  radians with respect to the induced metric and orientation. Finally, set

$$N = \{n \in \mathbf{C} \otimes K^\perp : J[n] = -i[n]\}.$$

Note that  $N$  is a two-dimensional complex vector bundle on  $M$ . Extend the inner product  $g$  to  $N$  as a complex linear form. For  $n_1 = \xi + iJ\xi$  and  $n_2 = \eta + iJ\eta$  in  $N$  we have

$$\begin{aligned} g(n_1, n_2) &= g(\xi, \xi) + ig(J\xi, \eta) + ig(\xi, J\eta) - g(J\xi, J\eta) \\ &= 0 \end{aligned}$$

since  $J$  is rotation by  $\pi/2$  radians. So  $N$  is said to be totally null. On the other hand,

$$g(n_1, \bar{n}_1) = 2g(\xi, \xi) \neq 0.$$

We have

$$N \subset \mathbf{C} \otimes K^\perp \subset \mathbf{C} \otimes TM$$

and

$$N \cap \bar{N} = \mathbf{C} \otimes K, \quad N + \bar{N} = \mathbf{C} \otimes K^\perp.$$

Now consider the flow generated by the vector field  $k$ . For small values of the time parameter, the orbit space is a three-dimensional manifold (again, for local results this is clear); call it  $M'$ . Without additional assumptions on  $k$  the bundle  $N$  does not project to a well-defined subbundle of  $\mathbf{C} \otimes TM'$ . Here is where physics enters.

We temporarily drop the assumption that  $k$  is null.

**Definition.** [8, page 1426] *The vector field  $k$  is said to be conformally geodesic if the associated flow preserves  $K^\perp$  and  $g(k, k)$  does not change sign.*

Note that this definition depends only on the conformal class of  $g$  and also that in Riemannian geometry the condition on the flow and  $g(k, k) = c$  imply  $\nabla_k k = 0$ .

The flow condition may be rewritten as

$$\mathcal{L}_k K^\perp \subset K^\perp.$$

and is equivalent to

$$(2.1) \quad g(k) \wedge \mathcal{L}_k g(k) = 0$$

where  $g(k)$  is the one-form defined by  $g(k)v = g(k, v)$ . To see this equivalence, we first note that if  $v$  is a vector field satisfying  $g(k)v = 0$  then also  $k(g(k)v) = 0$  and so

$$(2.2) \quad (\mathcal{L}_k g(k))v + g(k)\mathcal{L}_k v = 0.$$

We want to derive  $g(k) \wedge \mathcal{L}_k g(k) = 0$ . It is enough to show that

$$g(k)v = 0 \implies \mathcal{L}_k g(k)v = 0.$$

That is, if  $g(k)$  and  $\mathcal{L}_k g(k)$  have the same kernel then these one-forms are linearly dependent. So assume  $\mathcal{L}_k K^\perp \subset K^\perp$  and  $g(k)v = 0$ . We now have

$$g(k)v = 0 \implies v \in K^\perp \implies \mathcal{L}_k v \in K^\perp \implies g(k)\mathcal{L}_k v = 0 \implies \mathcal{L}_k g(k)v = 0$$

where the last implication follows from (2.2).

On the other hand, if  $g(k) \wedge \mathcal{L}_k g(k) = 0$ , then

$$g(k)v = 0 \implies (\mathcal{L}_k g(k))v = 0 \implies g(k)\mathcal{L}_k v = 0 \implies \mathcal{L}_k K^\perp \subset K^\perp.$$

We are interested in the case where  $k$  is a null vector,  $g(k, k) = 0$ . When  $k$  is null the foliation of  $M$  by the integral curves of  $k$  is called a congruence of null geodesics.

The Lorentz metric  $g$  induces a degenerate inner product on  $K^\perp$  and therefore also a (degenerate) conformal structure.

**Definition.** *A conformally geodesic vector field is shear-free if the associated flow preserves the conformal structure of  $K^\perp$ .*

The physical hypothesis that  $k$  generates a shear-free congruence of null geodesics also can be formulated in terms of the Lie derivative.

**Theorem 2.1.** [9] *A vector field  $k$  on a manifold  $M^4$  with Lorentz metric  $g$  generates a shear-free congruence of null geodesics if and only if*

$$(2.3) \quad g(k, k) = 0$$

$$(2.4) \quad \mathcal{L}_k g = \lambda g + \phi \otimes g(k)$$

where  $\lambda$  is a function,  $\phi$  is a one-form,  $g(k)$  is as defined above, and  $\phi \otimes g(k)$  signifies the symmetric product constructed from the one-forms.

*Proof.* We first show that (2.4), together with (2.3), implies (2.1) and hence  $k$  is a conformally geodesic vector field. We start with the Leibniz rule:

$$k(g(u, v)) = \mathcal{L}_k g(u, v) + g(\mathcal{L}_k u, v) + g(u, \mathcal{L}_k v).$$

Setting  $u = k$  and rearranging this becomes

$$\mathcal{L}_k g(k, v) = k(g(k, v)) - g(k, \mathcal{L}_k v).$$

Further

$$k(g(k, v)) = k(g(k)v) = (\mathcal{L}_k g(k))v + g(k, \mathcal{L}_k v)$$

and so

$$(\mathcal{L}_k g)(k, v) = (\mathcal{L}_k g(k))(v).$$

Now

$$\begin{aligned} (g(k) \wedge \mathcal{L}_k g(k))(u, v) &= (g(k)u)(\mathcal{L}_k g(k)v) - (g(k)v)(\mathcal{L}_k g(k)u) \\ &= (g(k)u)(\mathcal{L}_k g)(k, v) - (g(k)v)(\mathcal{L}_k g(k, u)) \\ &= g(k, u)(\lambda g(k, v) + \phi \otimes g(k)(k, v)) \\ &\quad - g(k, v)(\lambda g(k, u) + \phi \otimes g(k)(k, u)) \\ &= 0. \end{aligned}$$

We know that  $g(k) \wedge \mathcal{L}_k g(k) = 0$  implies that  $K^\perp$  is preserved and so  $k$  is conformally geodesic.

To show that the conformal class  $g$  induces on  $K^\perp$  is constant along the flow on  $M$  induced by  $k$ , we let  $v \in K^\perp$  be constant along the flow. So  $g(k)v = 0$  and  $\mathcal{L}_k v = 0$ . Thus

$$\begin{aligned} k(g(v, v)) &= \mathcal{L}_k g(v, v) \\ &= (\lambda g + \phi \otimes g(k))(v, v) \\ &= \lambda g(v, v). \end{aligned}$$

This gives us an ordinary differential equation. If local coordinates  $(t, x)$  are introduced with  $k = \partial_t$  then the equation has the form

$$\frac{\partial f(t, x)}{\partial t} = \lambda(t, x)f(t, x)$$

and the solutions are

$$f(t, x) = \Lambda(t, x)f(0, x)$$

for some function  $\Lambda$ . Thus

$$g(v, v)(t, x) = \Lambda(t, x)g(v, v)(0, x).$$

This shows that the conformal class of the metric on  $K^\perp$  does not change under the flow.

Conversely, we want to show that if  $k$  generates a shear-free congruence of null geodesics then there exist a scalar function  $\lambda$  and a one-form  $\phi$  satisfying (2.4). To see this, we start with a frame invariant along the orbits, labeled  $e_1, e_2, e_3, e_4$  with  $\{e_1, e_2, e_3\}$  a basis for  $K^\perp$  and  $g(e_4, e_4) = 0$ . Let  $0 \leq i \leq 3$ ,  $0 \leq j \leq 3$ . Note that  $g(k)e_i = 0$  and  $g(k)e_4 \neq 0$ . For  $p \in M$  parametrize the orbit through  $p$  by  $t$ . Since the conformal class of  $g$  on  $K^\perp$  is constant

$$g(e_i, e_j)|_t = \Lambda(t)g(e_i, e_j)|_p$$

Thus

$$(\mathcal{L}_k g)(e_i, e_j)|_p = (\mathcal{L}_k \Lambda)g(e_i, e_j)|_p.$$

Define

$$\begin{aligned} \lambda &= \mathcal{L}_k \Lambda \\ \phi(e_i) &= (g(k)e_4)^{-1} \left( (\mathcal{L}_k g)(e_i, e_4) - \mathcal{L}_k \lambda g(e_i, e_4) \right), \quad 0 \leq i, j \leq 4. \end{aligned}$$

We have for  $0 \leq i, j \leq 3$

$$(\lambda g + \phi \otimes g(k))(e_i, e_j) = (\mathcal{L}_k \Lambda)g(e_i, e_j) = (\mathcal{L}_k g)(e_i, e_j),$$

while for  $i \leq 4$  we have

$$\begin{aligned} (\lambda g + \phi \otimes g(k))(e_i, e_4) &= (\mathcal{L}_k \Lambda)g(e_i, e_4) + \phi(e_i)g(k)e_4 \\ &= (\mathcal{L}_k \Lambda)g(e_i, e_4) + \mathcal{L}_k(g(e_i, e_4)) - (\mathcal{L}_k \Lambda)g(e_i, e_4) \\ &= (\mathcal{L}_k g)(e_i, e_4). \end{aligned}$$

Thus

$$\lambda g + \phi \otimes g(k) = \mathcal{L}_k g.$$

□

Let  $\pi$  denote the map of  $M$  to the orbit space

$$\pi : M \rightarrow M'.$$

**Lemma 2.2.** *Under the conditions of the Theorem,  $\pi_*(N)$  is a complex line bundle  $\overline{B} \subset \mathbf{C} \otimes TM'$  which satisfies  $B \cap \overline{B} = \{0\}$ .*

*Proof.* Since  $K^\perp$  is itself invariant under the flow,  $K^\perp/K$  projects to a well-defined two-plane distribution  $H$  on  $M'$  and on  $H$  we have a well-defined conformal class of metrics. Thus  $\mathbf{C} \otimes TH$  splits into the eigenspaces of  $J$

$$\mathbf{C} \otimes TH = B \oplus \overline{B}.$$

with  $\pi_* N = \overline{B}$ .

□



That is, the physical assumptions lead to a CR structure on the orbit space. Further, as we now show, the same conditions provide a two-form  $F$  associated to  $N$  which itself also passes down to  $M'$ . The interest in such a two-form comes from considerations of Maxwell's equations. In classical physics, the components of the magnetic and electrical fields can be used to construct a real two-form  $F$ , called the Faraday tensor. Then, in the absence of charge, Maxwell's equations become  $dF = 0$ . Naturally, in relativistic physics the situation is more complicated.

To define  $F$  we first find a basis for  $N$ . Let  $\xi \in K^\perp$  and  $\xi \notin K$ . Choose any  $\eta \in K^\perp$  such that  $J[\xi] = [\eta]$ . Then  $n = \xi + i\eta$  and  $k$  form a basis for  $N$ .

Let  $g(k)$ , defined above, and  $g(n)$ , defined in the same way, be one-forms on  $M$ . Set

$$F = g(n) \wedge g(k).$$

Note that  $F$  is nowhere zero since the one-forms  $g(n)$  and  $g(k)$  are independent. For example,  $g(n)\bar{n} \neq 0$  while  $g(k)\bar{n} = 0$ .

The two-form  $F$  is associated to  $N$  in the following sense:

**Lemma 2.3.**  $N = \{v \in \mathbf{C} \otimes TM : i_v F = 0\}$ .

*Proof.* We have  $g(k, k) = 0$  because  $k$  is null;  $g(k, n) = 0$  because  $N \subset \mathbf{C} \otimes K^\perp$ ; and  $g(n, n) = 0$  because  $N$  is totally null. So for our basis  $i_k F = 0$  and  $i_n F = 0$ . Thus

$$N \subset \{v \in \mathbf{C} \otimes TM : i_v F = 0\}.$$

Now let  $t \in \{v \in \mathbf{C} \otimes TM : i_v F = 0\}$ . So

$$g(n, t)g(k) - g(k, t)g(n) = 0.$$

The independence of  $g(n)$  and  $g(k)$  implies  $t \in \mathbf{C} \otimes K^\perp$  at some point of  $M$ . Thus

$$t = \alpha n + \beta \bar{n} + \gamma k$$

for constants  $\alpha, \beta, \gamma$ . Since  $g(n, t) = 0$  and  $g(n, \bar{n}) \neq 0$ , we see that  $\beta = 0$  and thus  $t \in N$ .  $\square$

We may use  $F$  to define a two-form on  $M'$ : Let  $t_1$  and  $t_2$  be vectors in  $\mathbf{C} \otimes \mathbf{T}M'$ . Lift  $t_j$  to a vector  $t_j + \alpha_j k$  in  $\mathbf{C} \otimes TM$ . Then

$$\begin{aligned} F((t_1 + \alpha_1 k) \wedge (t_2 + \alpha_2 k)) &= g(n, t_1 + \alpha_1 k)g(k, t_2 + \alpha_2 k) \\ &\quad - g(n, t_2 + \alpha_2 k)g(k, t_1 + \alpha_1 k) \\ &= g(n) \wedge g(k)(t_1 \wedge t_2). \end{aligned}$$

So  $F$  evaluated on the lift is independent of choices and gives a well-defined two-form on  $M'$ . Call this form  $F'$ . For  $t \in \overline{B} = \mathbf{C} \otimes T^{0,1}(M')$  the natural lift, also called  $t$  is in  $N$ . Thus from the Lemma

$$t \in \mathbf{C} \otimes T^{0,1}(M') \implies i_t F' = 0.$$

Hence  $F'$  is section of the canonical bundle of  $M'$  and is nowhere zero.

In summary, the local quotient of a Lorentzian manifold under a shear-free congruence of null geodesics is a CR manifold which has a nowhere zero section of its canonical bundle. This section being closed is related to Maxwell's equation and so is a reasonable hypothesis for physicists. We now repeat Trautman's conjecture.

**Conjecture 2.1.** *If a CR manifold  $M^3$  admits a nowhere zero closed section of its canonical bundle, then the CR structure is locally realizable.*

As we have seen, the converse is true even globally.

### 3.

A weak version of the conjecture is true and holds for all dimensions. Functions satisfying

$$df_1 \wedge \dots \wedge df_k \neq 0$$

are called independent. Functions satisfying

$$df_1 \wedge \dots \wedge df_k \wedge d\overline{f_1} \wedge \dots \wedge d\overline{f_k} \neq 0$$

are called strongly independent.

**Example.** *The hyperquadric  $Q^3 \subset \mathbf{C}^2$  is defined by  $\Im z_2 = |z_1|^2$ . The bundle  $T^{0,1}$  is generated by*

$$L = \partial_{\overline{z_1}} - iz \partial_u$$

*where  $u = \Re z_2$ . The CR function  $f = z$  is strongly independent; The function  $f = u + i|z|^2$  is independent, but not strongly independent (at the origin).*

The following theorem preceded the formulation of Trautman's Conjecture and establishes a weak form.

**Theorem 3.1.** [2] *If the CR structure  $M^{2n+1}$  has  $n$  strongly independent CR functions near  $p$  and if the canonical bundle has a closed nowhere zero section then  $M^{2n+1}$  is realizable in a neighborhood of  $p$ .*

The proof depends on the following complex version of Proposition 2

**Proposition 3.** *M is realizable in a neighborhood of p if and only if there exists a complex vector field Y near p such that*

- Y is transverse to  $T^{1,0} \oplus T^{0,1}$
- $\mathcal{L}_Y T^{1,0} = T^{1,0}$ .

Thus the existence of a real vector field such that  $\mathcal{L}_v T^{1,0} = T^{1,0}$  is very special (since most realizable CR structures do not have such a vector field) but the existence of such a complex vector field characterizes realizability.

*Proof.* We first prove the necessity. So assume M is realizable near p. Without loss of generality we assume  $p = 0$  and M is given as

$$M = \{(z_1, \dots, z_{n+1}) : \Im z_{n+1} = \rho(z_1, \dots, z_n, \bar{z}_1, \dots, \bar{z}_{n-1}, \Re z_{n+1})\}.$$

Define  $\bar{Y}$  by

$$(3.1) \quad dz_{n+1}(\bar{Y}) = 1, \quad dz_j(\bar{Y}) = d\bar{z}_j(\bar{Y}) = 0, \quad 1 \leq j \leq n.$$

Note that  $\bar{Y}$  (and also Y) is transverse to  $T^{1,0} \oplus T^{0,1}$ . Set

$$\omega = dz_1 \wedge \dots \wedge dz_{n+1}|_M.$$

This is a nowhere zero closed section of the canonical bundle. As a consequence of Cartan's formula

$$\mathcal{L}_v = di_v + i_v d$$

we have

$$\mathcal{L}_{\bar{Y}} \omega = d(i_{\bar{Y}} \omega) + i_{\bar{Y}} d\omega = 0.$$

This implies  $\mathcal{L}_{\bar{Y}} T^{0,1} = T^{0,1}$  and so also

$$\mathcal{L}_Y T^{1,0} = T^{1,0}.$$

Conversely, we will assume that  $\mathcal{L}_Y T^{1,0} = T^{1,0}$  with Y transverse to  $T^{1,0} \oplus T^{0,1}$ , and show that M is locally realizable. This is just a slight modification of a standard proof of Proposition 2. Extend Y and each of the vectors in  $T^{1,0}$  to  $\mathbf{C} \otimes T(M \times \mathbf{R})$  by taking them constant in the  $\mathbf{R}$  direction. Let Y still denote this extension and let V denote the extension of the bundle  $T^{1,0}$ . Set Z to be the complex line bundle spanned by  $Y + i \frac{\partial}{\partial t}$  where t is the natural parameter for  $\mathbf{R}$ . Then  $W = V \oplus Z$  satisfies

$$W \cap \bar{W} = \{0\} \text{ and } W + \bar{W} = \mathbf{C} \otimes T(M \times \mathbf{R}).$$

Finally, as is easily seen, W is closed under the commutation of vector fields,

$$[\Gamma W, \Gamma W] \subset \Gamma W.$$

Thus W satisfies the conditions of the Newlander-Nirenberg Theorem [6] and so defines a complex structure on  $M \times \mathbf{R}$ . Since  $W \cap \mathbf{C} \otimes TM =$

$T^{1,0}(M \times R)$ , the CR structure induced on  $M$  is the one we started with.  $\square$

All that is left to do in the proof of Theorem 3.1 is to show that if  $f_1, \dots, f_n$  are CR functions on  $M^{n+1}$  with

$$df_1 \wedge \dots \wedge d\bar{f}_n \neq 0$$

and if  $\omega$  is a nowhere zero section of the canonical bundle with

$$d\omega = 0$$

then there is a complex vector field  $Y$  with

- $Y$  transverse to  $T^{1,0} \oplus T^{0,1}$
- $\mathcal{L}_Y T^{1,0} = T^{1,0}$ .

We just use the closed section to find a replacement for  $dz_{n+1}$  in (3.1). Because we prefer to work with the canonical bundle and not its conjugate, we start, as in the Proposition, by defining a vector field  $\zeta$  and then let  $Y = \bar{\zeta}$ . Towards this end, let  $\theta$  be a nowhere zero one-form annihilating  $T^{1,0} \oplus \bar{T}^{1,0}$ . Then

$$\theta \wedge df_1 \wedge \dots \wedge df_n$$

is a nowhere zero section of the canonical bundle. This bundle is one dimensional, so

$$\omega = f\theta \wedge df_1 \wedge \dots \wedge df_n.$$

Define  $\zeta$  by

$$f\theta(\zeta) = 1, \quad df_j(\zeta) = 0, \quad d\bar{f}_j(\zeta) = 0$$

$\zeta$  can be thought of as a complex version of the Reeb vector field. In particular, it is transverse to  $T^{1,0} \oplus T^{0,1}$ .

We have

$$\begin{aligned} \mathcal{L}_\zeta \omega &= d(i_\zeta \omega) + i_\zeta d\omega \\ &= d(f\theta(\zeta))df_1 \wedge \dots \wedge df_n + i_\zeta d\omega \\ &= 0. \end{aligned}$$

**Lemma 3.1.** *If  $\mathcal{L}_\zeta \omega = 0$  then  $\mathcal{L}_\zeta T^{0,1} = T^{0,1}$ .*

*Proof.* We have for all vector fields  $\zeta$  and  $v$  and all forms  $\omega$

$$\mathcal{L}_\zeta i_v \omega = i_{\mathcal{L}_\zeta v} \omega + i_v \mathcal{L}_\zeta \omega.$$

So, if  $v \in T^{0,1}$ , hence  $i_v \omega = 0$ , and  $\mathcal{L}_\zeta \omega = 0$ , then

$$i_{\mathcal{L}_\zeta v} \omega = 0$$

and so  $\mathcal{L}_\zeta v$  is also in  $T^{0,1}$ .  $\square$

This Lemma has a partial converse: If  $\mathcal{L}_\zeta T^{0,1} = T^{0,1}$  then  $\mathcal{L}\omega = \alpha\omega$  for some function  $\alpha$ .

Finally, we set  $Y = \bar{\zeta}$ . Thus,  $Y$  is transverse to  $T^{1,0} \oplus T^{0,1}$  and

$$\mathcal{L}_Y T^{1,0} = \overline{\mathcal{L}_\zeta T^{0,1}} = T^{1,0}$$

and we are done.

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